

# FFT Spectrometer

A. Murk, C Straub, H. Kim, D. Zardet, B. Stuber, C. Monstein, ...

University of Bern, Institute of Applied Physics  
FHNW, ETHZ, Agilent Technologies

NDACC Workshop 2013



---

<sup>b</sup>  
**UNIVERSITÄT  
BERN**

- ▶ Summary of different FFTS models used at IAP
- ▶ Alternative observing modes with COSPAN
- ▶ Problem areas of the Acqiris AC240
- ▶ New developments in collaboration with FHNW, ETHZ and Agilent
- ▶ Low-cost Software Defined Radio (SDR) options for narrow bandwidth

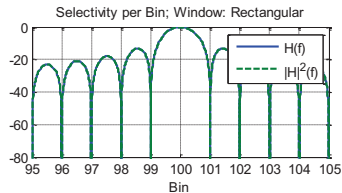
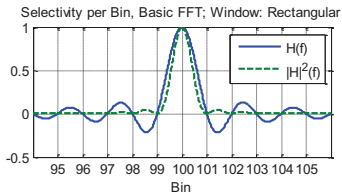
# FFT Spectrometers used at IAP

| Manufacturer/Model        | Bandwidth<br>GHz | Channels | Resolution<br>kHz | ADC<br>Bit |
|---------------------------|------------------|----------|-------------------|------------|
| RPG AFFTS                 | 1.5              | 8192     | 212               | 8          |
| (MPI Bonn, B. Klein)      | 0.1              | 16384    | 7                 |            |
| Agilent AC240 FFTS        | 1.0              | 16384    | 61                | 8          |
| Agilent AC240 COSPAN      | 1.0              | 32768    | 31                | 8          |
| Agilent M9703 <b>NEW!</b> | 4×1.6            | 32768    | 49                | 12         |
| Omnisys HIFAS             | 6.6              | 255      | 26MHz             | 1.5        |
| autocorrelator            |                  | (511)    | 13MHz             |            |

# Channel Response Functions

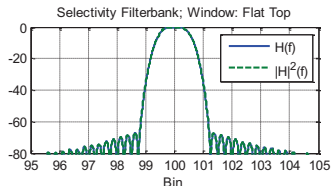
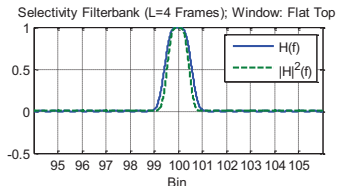
- ▶ FFT spectrometer  $\left| \frac{\sin(x)}{x} \right|^2$ , Autocorrelator  $\frac{\sin(x)}{x}$

Additional window function degrades resolution and noise



- ▶ Polyphase Filterbank (RPG, New Agilent FFTS)

Superior channel separation without any drawbacks



# FFTS firmware for Acqiris AC240

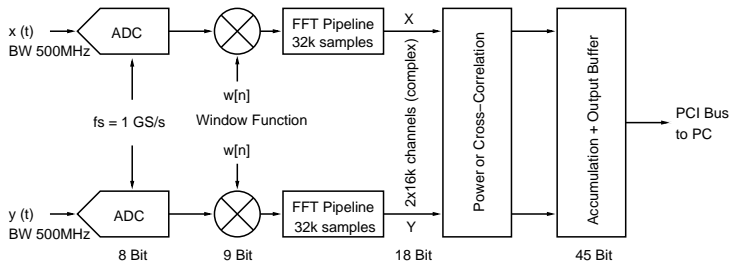
- ▶ **Original Agilent FFTS firmware:**

2GS/s sampling rate by interleaving two 1GS/s ADCs

Offsets between the ADCs produce spurious artifacts, which can be reduced by ADC calibration (at operational temperatures!).

- ▶ **COSPAN firmware at IAP:**

Both inputs are digitized simultaneously at 1GS/s  
different observation options after the FFT



- ▶ **Total Power Mode**

$$P_{xx} = |X|^2 \text{ and } P_{yy} = |Y|^2$$

- ▶ **Correlation Mode**

$$P_{xy} = X^* Y \text{ (real and imaginary parts)}$$

⇒ cross-correlation spectrum of  $x(t)$  and  $y(t)$

- ▶ **Sum & Difference Modes** (I/Q Sideband Separation)

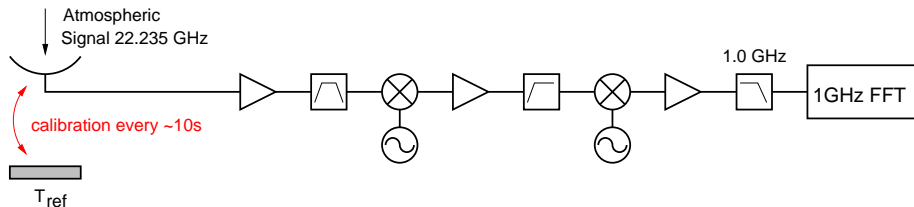
$$P_{x+y} = |X + Y|^2 \text{ and } P_{x-y} = |X - Y|^2 \text{ or}$$

$$P_{x+jy} = |X + jY|^2 \text{ and } P_{x-jy} = |X - jY|^2$$

- ▶ **Bypass Mode**

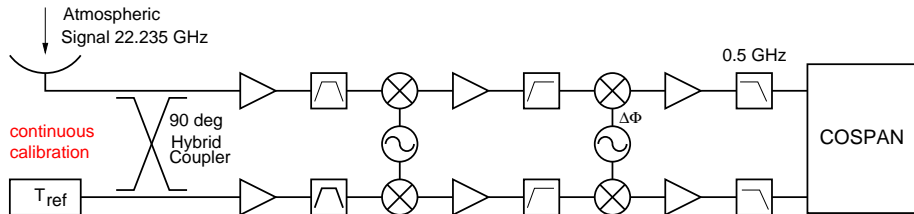
$x(t)$  and  $y(t)$  of a single frame

# Total Power Radiometer with FFT Spectrometer



- ▶ Bad SNR: line amplitude  $< 1K$ , receiver noise  $> 100K$
- ▶ Gain variations require frequent calibration ( $\tau \sim 10s$ )
- ▶ Loss of integration time, but still sensitive to gain fluctuations

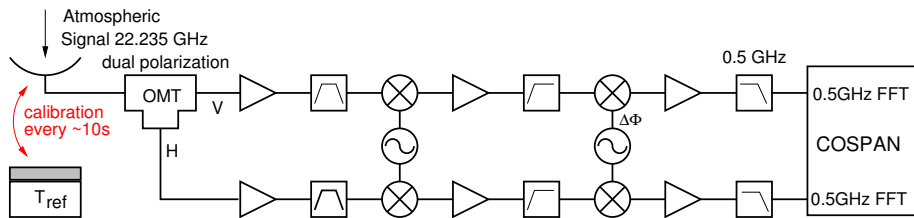
# Correlation Receiver with COSPAN



- ▶ New correlation receiver MIAWARA-C with COSPAN
- ▶ Continuous comparison of the atmospheric signal with an internal reference signal
- ▶ Receiver noise after the  $90^\circ$  waveguide hybrid is uncorrelated and does not contribute to the accumulated spectra
- ▶ Most efficient use of the integration time, less sensitive to gain variations.

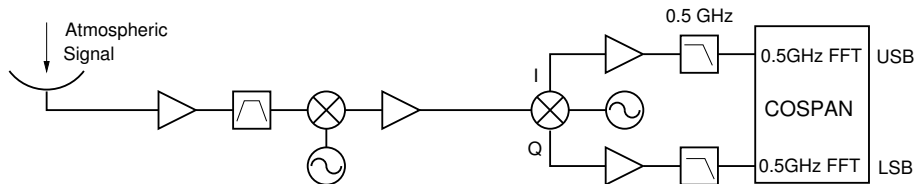


# Dual Polarization Receiver with COSPAN



- ▶ Since 2010 dual-polarization receiver instead of correlation
- ▶ Simultaneous observation of 2 polarizations with OMT
- ▶ Lower noise than correlation mode

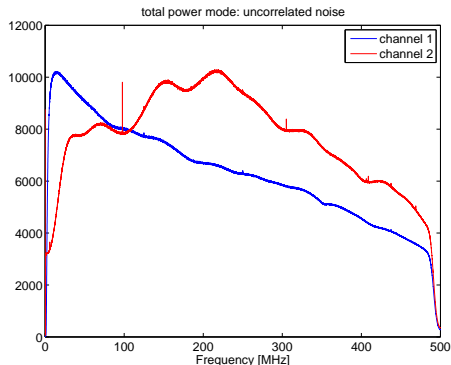
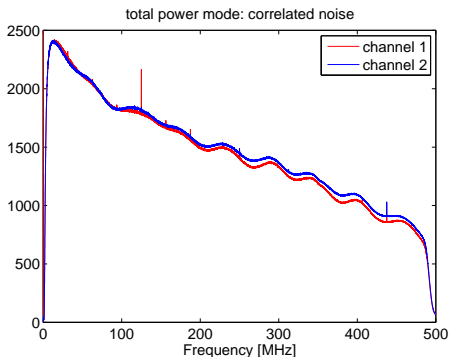
# I/Q Sideband Separation with COSPAN



- ▶ I/Q Mixer with two IF outputs with zero and  $\pm 90^\circ$  phase
- ▶ Allows to separate USB and LSB, image rejection depends on amplitude and phase balance
- ▶ Advantages compared to interleaved 2GS/s mode:
  - ▶ Same bandwidth, twice the resolution
  - ▶ Conversion from IF to baseband requires no steep bandpass filter
- ▶ Can be used also with an I/Q mixer at RF

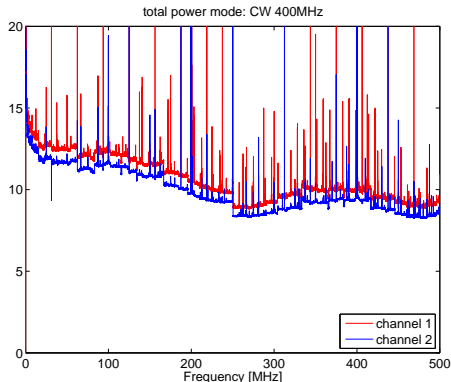
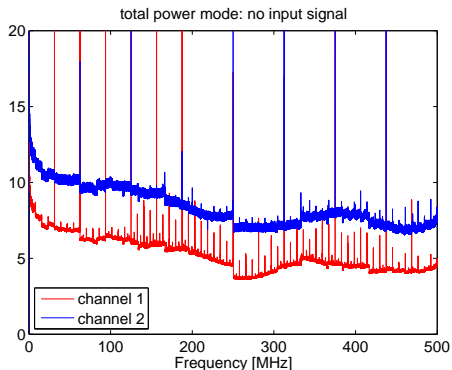
- ▶ Uncorrelated noise: two independent noise sources with 500 MHz lowpass filter.
- ▶ Correlated noise: single noise source, in-phase power splitter, 500 MHz lowpass filter
- ▶ CW Signal: Agilent Synthesizer
- ▶ AC240 analyzer in ADLink cPCI crate
- ▶ AC240 input setting 5V without filters, rectangular FFT window

# Total Power Mode



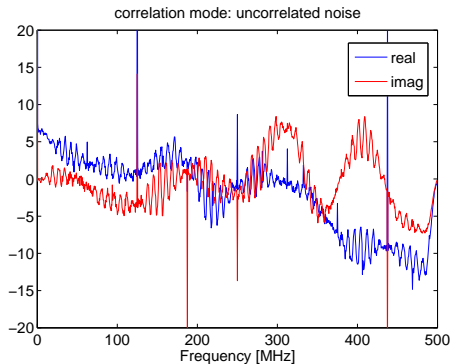
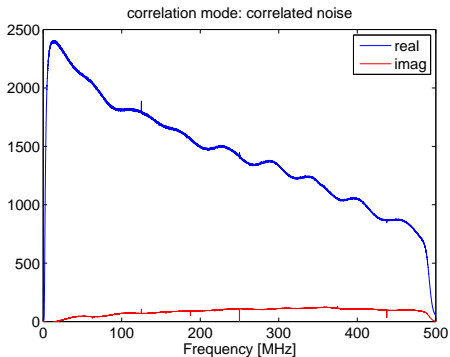
- ▶ Similar to original 1GS/s firmware
- ▶  $2 \times$  500 MHz bandwidth with twice the resolution

# Total Power Mode, Background



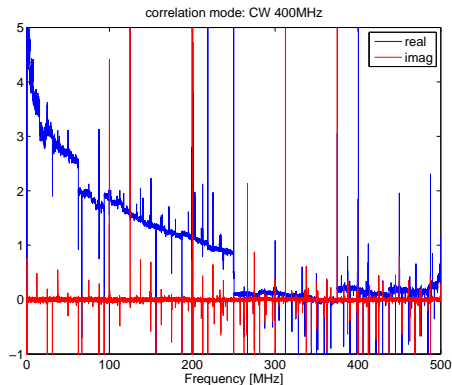
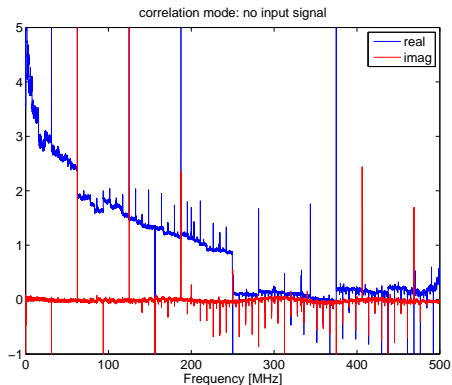
- ▶ Spurious signals from FPGA clock
- ▶ Offset with planforming and systematic ripple from FFT truncation
- ▶ Offset is sensitive to ADC offset

# Correlation Mode



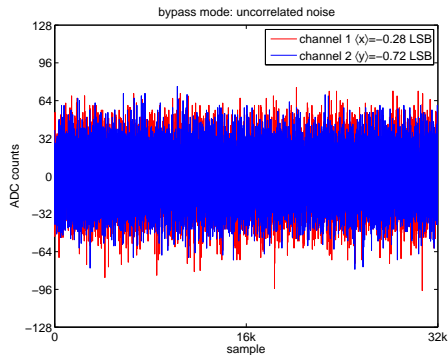
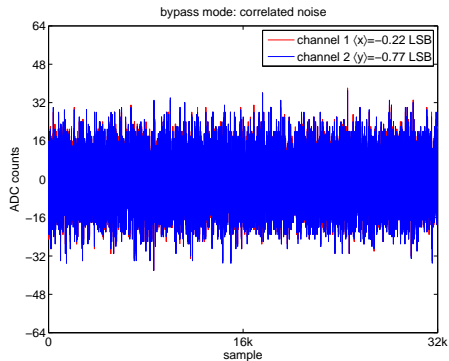
- ▶ Correlated noise:  
Spurious imaginary component from AC240 phase unbalance
- ▶ Uncorrelated noise:  
Artifacts from the crosstalk between the AC240 inputs

# Correlation Mode, Background



- ▶ Offset with planing and systematic ripple from FFT truncation, mostly on the real component.
- ▶ Can be corrected partly by inversion of the time domain data within COSPAN

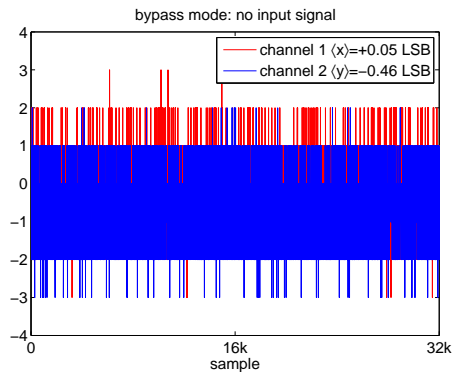
# Bypass Mode



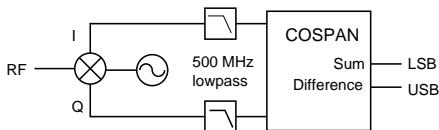
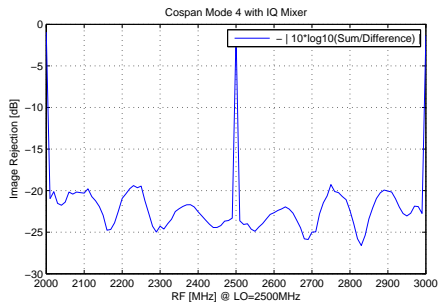
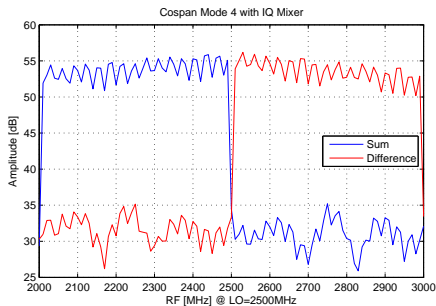
- ▶ Allows to determine ADC offset and statistics
- ▶ Optimum RF level uses full ADC range without clipping



# Bypass Mode, Background

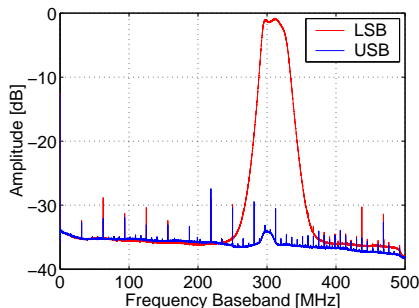
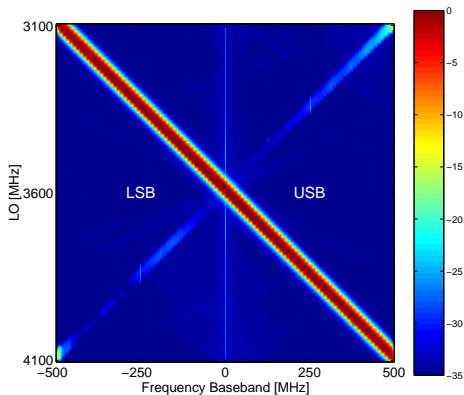


# Sum & Difference Mode



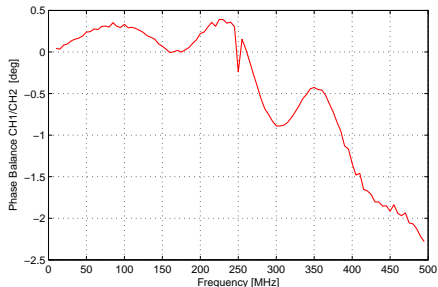
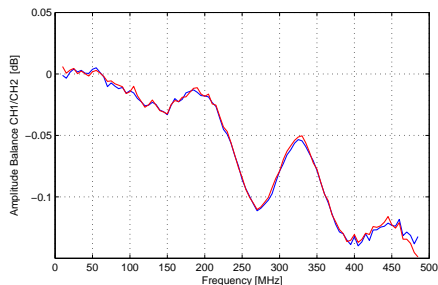
- ▶ Test setup: 1.5-4.5GHz IQ mixer, LO 2.5GHz, RF 2-3GHz CW
- ▶ Allows to separate upper and lower sideband of an IQ mixer
- ▶ Image rejection depends on amplitude and phase balance
- ▶ Higher resolution and more flexibility than 1GS/s firmware

# Sum & Difference Mode (Noise Input)



- ▶ Test setup: 1.5-4.5GHz IQ mixer (Marki), LO 3.1–4.1GHz, bandlimited noise input  $3.6\text{GHz} \pm 30\text{MHz}$

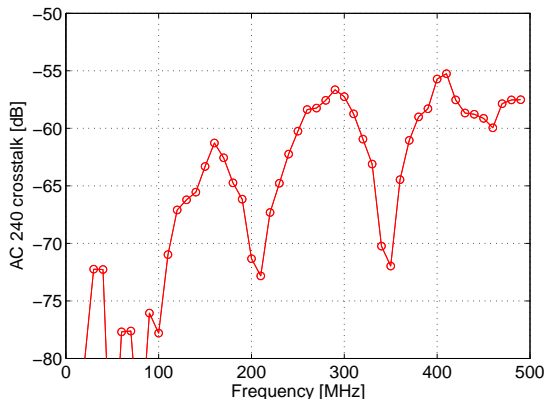
# AC240 Amplitude and Phase Balance



- ▶ Tests with 0-500 MHz CW signal and in phase power splitter.
- ▶ Amplitude balance from total power mode, phase balance from correlation mode.
- ▶ Reversing the inputs allows to distinguish between unbalance of AC240 and test setup

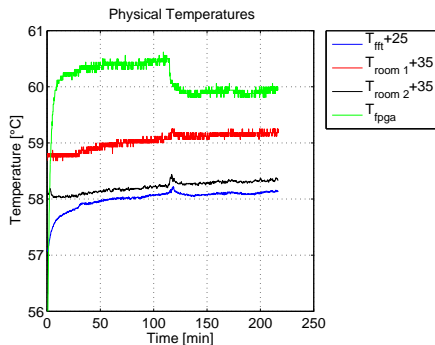
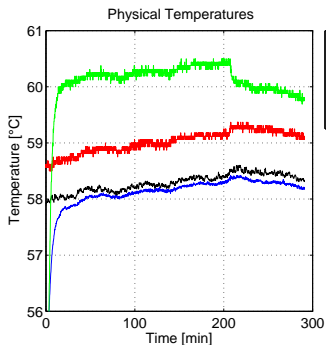
# AC240 Crosstalk

- ▶ Very critical for correlation and sideband separating modes.
- ▶ -60dB produces significant systematic errors
- ▶ Test setup: 0-500MHz CW at CH1, matched load at CH2.



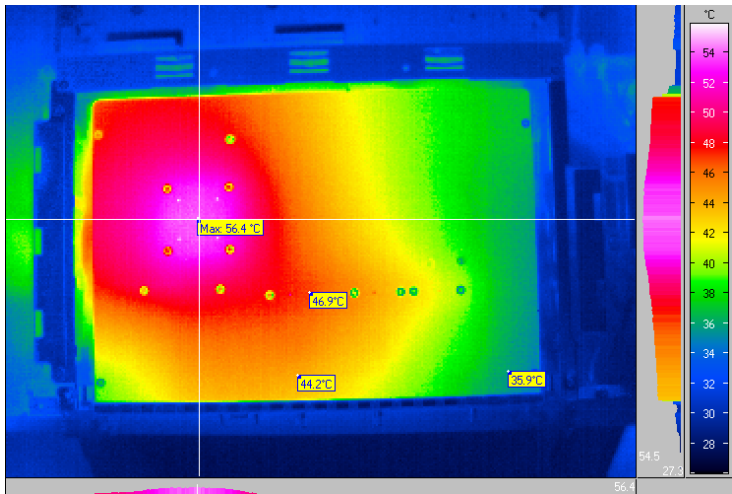
# AC240 Thermal Effects

- ▶ FPGA load varies between different modes
- ▶ FPGA Temperature variation with RF level
- ▶ Test setup: FFT started at  $t=0$ ,  
RF power is reduced by 10dB after 200 or 120 min.



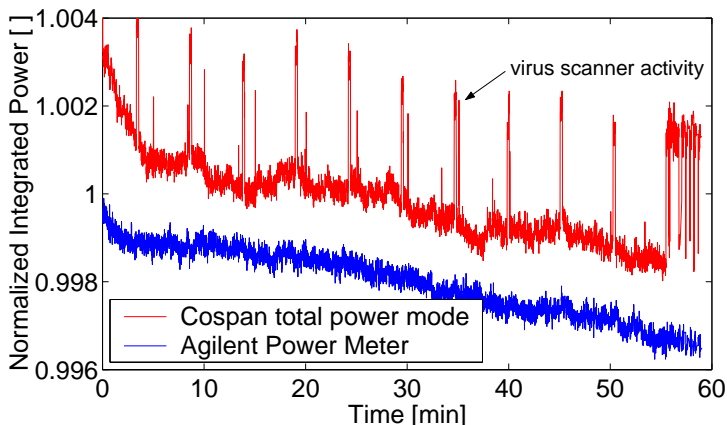
# AC240 Thermal Effects

- ▶ Problem: ADC and PGA on same heat sink as FPGA
- ▶ Ideally analog parts should be temperature stabilized
- ▶ IR image of AC240 board shows strong temperature gradients



# AC240 Instability from Host PC

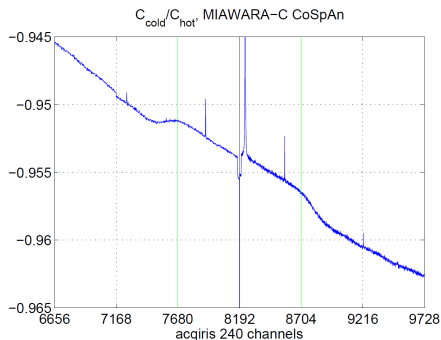
- ▶ Virus scanner activity leads to periodic gain fluctuations
- ▶ Drop of  $V_{bias}$  in ADlink crate? .



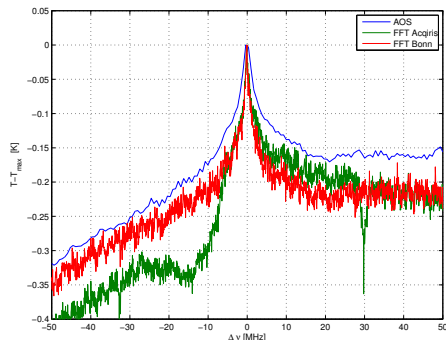


# AC240 Nonlinearities

- ▶ Original 1GHz FFTS and COSPAN show systematic nonlinearities in different parts of the spectrum
- ▶ Most disturbing are shoulders around band center



Linearity test with COSPAN



Comparison calibrated H<sub>2</sub>O line with  
FFT AC240, FFT Bonn and AOS

- ▶ Nonlinearity caused by numeric truncation in the FFT
- ▶ Crosstalk between channel 1 and 2
- ▶ Amplitude & Phase balance: important for sideband separating mode
- ▶ Insufficient cooling for high altitudes (FPGA  $\sim 60^{\circ}\text{C}$  in Bern)
- ▶ FPGA, PGA and ADC share same heat sink  
⇒ stability problems
- ▶ Gain variations with temperature and PC activity
- ▶ RFI: Spurious signals from FPGA and other clocks

# New Agilent M9703 FFTS

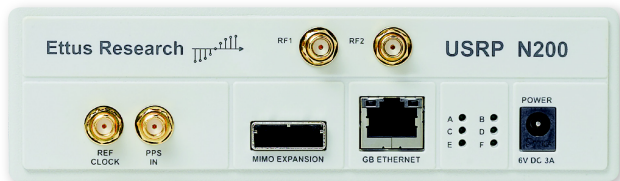
- ▶ Modular system with different bandwidth options up to  $8 \times 1.6$  GS/s
- ▶ Polyphase filterbank for better channel separation
- ▶ Statistical Kurtosis analysis for RFI mitigation
- ▶ Similar observation modes as COSPAN (but now also in parallel)
- ▶ I/Q error correction for improved sideband separation
- ▶ Highly improved linearity (no more truncation artifacts)



Current AXIe version, smaller  $2 \times 1.6$  GS/s for PCIe expected during 2013.

# USRP Software Defined Radio

- ▶ Universal Software Defined Radio from [www.ettus.com](http://www.ettus.com)
- ▶ Dual 100MHz 14Bit ADC, dual 400MS/s 16Bit DAC, Xilinx FPGA
- ▶ RF transceiver daughterboards from 1MHz to 4.4GHz
- ▶ Software development with GnuRadio (Open Source) or Labview
- ▶ CPU calculates FFT, max. bandwidth 5-10MHz (25MHz?)
- ▶ Relatively low-cost (1500 US\$)



# USRP Software Defined Radio at IAP

- ▶ Used for radio astronomy and O2 Zeeman splitting (TEMPERA)
- ▶ Can be also abused to listen to several radio stations in parallel

